

PATENT
Case No. N0169US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
MICHAEL WEILAND et al.)
Serial No. 10/620,732)
Title: METHOD OF REPRESENTING)
ROAD LANES)
Filed: July 16, 2003)

DECLARATION UNDER 37 CFR 1.131

The undersigned, MICHAEL WEILAND, GREGORY NYCZAK, WILLIAM McDONOUGH, MICHAEL TSENGOURAS, DAVID SHUMAN, and PAUL FORD, each hereby declare that:

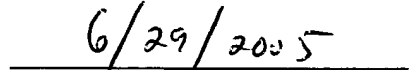
1. We are co-inventors of the invention described and claimed in the above-identified patent application.
2. Before May 15, 2003, as part of a project entitled "EDMap", we invented a new data model for representing road lanes in a database. Part of this new data model included adding new information to the data representation of a physical road lane including, but not limited to, (i) data that indicates the start and end points of the represented physical road lane, and (ii) data that indicates what physical features are adjacent to and extend along the represented physical road lane on the right and left sides.
3. Before May 15, 2003, we prepared an Invention Disclosure Statement Form describing our invention. We filed the Invention Disclosure Statement Form with the Legal Department of the assignee of the subject patent application. A redacted copy of the Invention Disclosure Statement is attached hereto.
4. The third and fifth bullet points in the section entitled "Detailed Description of Invention" on page 2 of the attached Invention Disclosure Information Form disclose the elements of our invention recited in paragraph 2., above.

5. All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.


MICHAEL WEILAND


DATE


GREGORY NYCKZAK

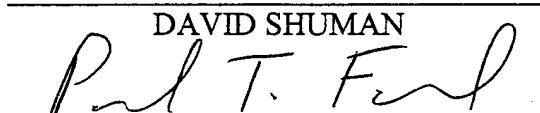

DATE

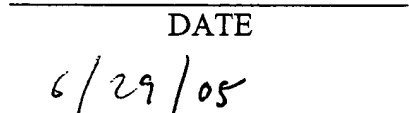

WILLIAM McDONOUGH


DATE


MICHAEL TSENGOURAS


DATE

DAVID SHUMAN

PAUL FORD

DATE

DATE

**NAVIGATION TECHNOLOGIES CORPORATION
INVENTION DISCLOSURE STATEMENT FORM**

(Return electronic copy and
fully executed hard copy to Legal Department)

IDS # [REDACTED]

(to be filled out by Legal Dept.)

Shorthand Name for Invention: Method for Modeling Road Networks at Lane Level

Developers Who Contributed to Invention:

1. <u>Michael Weiland</u>	2. <u>Greg Nyczak</u>
3. <u>Mac McDonough</u>	4. <u>Michael Tsengouras</u>
5. <u>Dave Shuman</u>	6. <u>Paul Ford</u>
7. _____	8. _____

Date (or Month) on Which Development Began:	[REDACTED]
If Known, First Date (if any) on Which Development was:	
(a) described in a CONFIDENTIAL document released outside of NTC	[REDACTED]
(b) described in a CONFIDENTIAL conversation with a non-NTC employee	[REDACTED]
(c) described in a NON-confidential document released outside of NTC	
(d) described in a NON-confidential conversation with a non-NTC employee	
(e) included in any version of a product released outside of NTC	[REDACTED]
(f) used internally at NTC in the normal course of operations:	
(g) discussed at a Brainstorming Session for IDS No.	

Summary of Invention:

Some future ADAS-type applications require information about the geometry of individual lanes on a roadway, in addition to road centerlines. Existing NTC conventions for how roads change and intersect one another do not necessarily translate well into a lane-by-lane model. This IDS discusses the issues that are present regarding a lane-level database, and approaches being taken to address these issues.

Key Words for Invention:

Lane geometry, transition lanes, ADAS

Advantages of Invention (to the extent known):

A lane-level database enables a number of ADAS applications that would not be able to function without this level of detail. A lane departure warning system is straightforward example. A forward collision warning is less obvious, but this type of system needs to determine whether an object detected ahead of the vehicle is inside or outside the vehicle's lane.

Introducing lane-level geometry requires a number of problems to be solved which are not present in a basic road-level database.

- There is typically (but not always) lateral connectivity between parallel lanes which must be modeled. There will not be any particular points at which traffic can change from one lane to another, and the path taken by vehicles to effect lane changes will vary, depending on driver preference and typically influenced by speed and traffic conditions.
- Lanes can begin or end in the middle of a roadway, causing vehicle paths to move into or out of lanes. In the transitional areas where lanes begin or end, the physical centerline of the narrowing/widening lane will not correspond to a likely vehicle path. The vehicle paths of cars entering or leaving these lanes is not expected to be precisely predictable.
- Lane-specific attributes may change at any longitudinal point along a lane. Different lanes along a road may have attribute change at different longitudinal points.
- Geometry as traditionally represented (shape points interpolated by straight line segments, also known as a "polyline") will not have sufficient curve smoothness for lane-level ADAS applications.

[REDACTED]
CONFIDENTIAL

- At intersections, the crossings between every lane would imply connectivity between crossing lanes that is not present in reality, or if the connectivity exists, in the wrong place.
- To support compatibility with road-level maps and applications, lane data must be associated with road links.
- It must be possible to create lane-level data reliably from practical source materials. These materials include vehicle path data from driving, overhead aerial imagery, and probe vehicle ("floating car") data.

Developed for the ADAS "EDMap" project, the lane-level data model described here (together with the related intersection data model) addresses and solve these problems.

Detailed Description of Invention

- describe function(s) performed
- describe with particularity the way in which each function is achieved (e.g., if the invention is a process, describe each step of the process):

The key elements of the lane-level data model are:

- A lane centerline is defined for every portion of a road lane where both edges are discernable and the lane is at full width. The centerline is defined as the line midway between the lane edges. Lane edges can be lane markings (such as paint) and/or physical edges (such as a curb, median or edge of pavement). *Having well-defined criteria for what is and is not a lane helps make the data creation process more reliable and reproducible.*
- The actual geometry of a lane can be expressed as parametric curvature (such as a uniform B-spline, non-uniform B-spline, or clothoid) or as a set of shape points interpolated by straight line segments (a "polyline"). *This enables better-behaved curvature as demanded by ADAS applications.*
- Where a lane is starting or ending, i.e., the lane is widening to or narrowing from its full width, no lane centerline is provided. Rather, the adjacent lane(s) will be attributed to indicate that a lane is starting or ending. *This definition is compatible with the lack of reliable vehicle paths for cars entering or leaving the lane that is forming or ending.*
- Attributes can be applied to a longitudinal subset of a lane (defined as a "sublane"). The sublane is defined by a pair of points along the lane, expressed as distances along the lane curve from a designated end. The sublane has no geometry of its own. *This allows attributes to begin and end as necessary along a lane, without complicating the underlying lane's geometry.*
- Each lane will have a pair of "adjacency" attributes, indicating what lies to the left and right of the lane. This attribute can be applied to the whole lane and also to a longitudinal subset of the lane (a "sublane"). This adjacency can be any of:
 - Another lane, which can be entered by a lane change
 - Another lane but which cannot be entered
 - A lane is in the process of forming
 - A lane is in the process of ending
 - A shoulder
 - Another "drivable surface" (not a lane or shoulder, but might have a vehicle on it, such as a parking lane or low median)
 - No drivable surface (a drop-off, a barrier, etc.)

This adjacency attribute addresses the problem of lateral lane changes by defining where such changes can legally occur, and further defines for applications places where other vehicles are likely to be present.

- Lanes will not cross one another. A lane goes up to, but not through, the intersection at the end of the road link. *This prevents any implied connectivity between lanes that is not consistent with reality.*
- In the case of bifurcations (a true "lane split"), two lanes can be modeled such that their centerlines start at the same point. These will be attribute as "overlapping" to indicate that two lane surfaces share some of the same pavement.
- A lane will not extend beyond the end of the road to which it belongs. A physical lane may continue unbroken across multiple road links, such as when a ramp splits off from (one lane of) the road, but each road's lanes will be modeled separately. Each lane is associated with exactly one road link. *This will ensure compatibility with the existing NAVTECH link-based data model.*

Please check the appropriate box:

☐
☒

No design documents exist

The following design documents exist (and copies are attached):

[REDACTED]

[REDACTED]

CONFIDENTIAL

Signature: Michael J. Weiland
(of preparer-developer)

Date: [REDACTED]

Type Name: Michael Weiland

Signature(s) of Contributing Developers:

1. Name: SM Kh
2. Name: W. H. H. H.
3. Name: David J. H. H.
4. Name: David J. H. H.
5. Name: P. T. F.
6. Name: _____
7. Name: _____

Date: [REDACTED]
Date: [REDACTED]
Date: [REDACTED]
Date: [REDACTED]
Date: [REDACTED]
Date: _____
Date: _____

BEST AVAILABLE COPY

[REDACTED]

CONFIDENTIAL



BEST AVAILABLE COPY

PATENT
Case No. N0169US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
MICHAEL WEILAND et al.)
Serial No. 10/620,732)
Title: METHOD OF REPRESENTING)
ROAD LANES)
Filed: July 16, 2003)

DECLARATION UNDER 37 CFR 1.131

The undersigned, MICHAEL WEILAND, GREGORY NY CZAK, WILLIAM McDONOUGH, MICHAEL TSENGOURAS, DAVID SHUMAN, and PAUL FORD, each hereby declare that:

1. We are co-inventors of the invention described and claimed in the above-identified patent application.
2. Before May 15, 2003, as part of a project entitled "ED Map", we invented a new data model for representing road lanes in a database. Part of this new data model included adding new information to the data representation of a physical road lane including, but not limited to, (i) data that indicates the start and end points of the represented physical road lane, and (ii) data that indicates what physical features are adjacent to and extend along the represented physical road lane on the right and left sides.
3. Before May 15, 2003, we prepared an Invention Disclosure Statement Form describing our invention. We filed the Invention Disclosure Statement Form with the Legal Department of the assignee of the subject patent application. A redacted copy of the Invention Disclosure Statement is attached hereto.
4. The third and fifth bullet points in the section entitled "Detailed Description of Invention" on page 2 of the attached Invention Disclosure Information Form disclose the elements of our invention recited in paragraph 2., above.

Page 1 of 2

BEST AVAILABLE COPY

5. All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

MICHAEL WEILAND

DATE

GREGORY NYCZAK

DATE

WILLIAM McDONOUGH

DATE

MICHAEL TSENGOURAS

DATE*David R. Shuman*

DAVID SHUMAN*6/29/05*

DATE

PAUL FORD

DATE



NAVIGATION TECHNOLOGIES CORPORATION
INVENTION DISCLOSURE STATEMENT FORM

(Return electronic copy and
fully executed hard copy to Legal Department)

IDS # [REDACTED]

(to be filled out by Legal Dept.)

Shorthand Name for Invention: Method for Modeling Road Networks at Lane Level

Developers Who Contributed to Invention:

1. <u>Michael Weiland</u>	2. <u>Greg Nyczak</u>
3. <u>Mac McDonough</u>	4. <u>Michael Tsengouras</u>
5. <u>Dave Shuman</u>	6. <u>Paul Ford</u>
7. _____	8. _____

Date (or Month) on Which Development Began:	[REDACTED]
If Known, First Date (if any) on Which Development was:	
(a) described in a CONFIDENTIAL document released outside of NTC	[REDACTED]
(b) described in a CONFIDENTIAL conversation with a non-NTC employee	[REDACTED]
(c) described in a NON-confidential document released outside of NTC	
(d) described in a NON-confidential conversation with a non-NTC employee	
(e) included in any version of a product released outside of NTC	[REDACTED]
(f) used internally at NTC in the normal course of operations:	
(g) discussed at a Brainstorming Session for IDS No.	

Summary of Invention:

Some future ADAS-type applications require information about the geometry of individual lanes on a roadway, in addition to road centerlines. Existing NTC conventions for how roads change and intersect one another do not necessarily translate well into a lane-by-lane model. This IDS discusses the issues that are present regarding a lane-level database, and approaches being taken to address these issues.

Key Words for Invention:

Lane geometry, transition lanes, ADAS

Advantages of Invention (to the extent known):

A lane-level database enables a number of ADAS applications that would not be able to function without this level of detail. A lane departure warning system is straightforward example. A forward collision warning is less obvious, but this type of system needs to determine whether an object detected ahead of the vehicle is inside or outside the vehicle's lane.

Introducing lane-level geometry requires a number of problems to be solved which are not present in a basic road-level database.

- There is typically (but not always) lateral connectivity between parallel lanes which must be modeled. There will not be any particular points at which traffic can change from one lane to another, and the path taken by vehicles to effect lane changes will vary, depending on driver preference and typically influenced by speed and traffic conditions.
- Lanes can begin or end in the middle of a roadway, causing vehicle paths to move into or out of lanes. In the transitional areas where lanes begin or end, the physical centerline of the narrowing/widening lane will not correspond to a likely vehicle path. The vehicle paths of cars entering or leaving these lanes is not expected to be precisely predictable.
- Lane-specific attributes may change at any longitudinal point along a lane. Different lanes along a road may have attribute change at different longitudinal points.
- Geometry as traditionally represented (shape points interpolated by straight line segments, also known as a "polyline") will not have sufficient curve smoothness for lane-level ADAS applications.

CONFIDENTIAL

- At intersections, the crossings between every lane would imply connectivity between crossing lanes that is not present in reality, or if the connectivity exists, in the wrong place.
- To support compatibility with road-level maps and applications, lane data must be associated with road links.
- It must be possible to create lane-level data reliably from practical source materials. These materials include vehicle path data from driving, overhead aerial imagery, and probe vehicle ("floating car") data.

Developed for the ADAS "EDMap" project, the lane-level data model described here (together with the related intersection data model) addresses and solve these problems.

Detailed Description of Invention

- describe function(s) performed
- describe with particularity the way in which each function is achieved (e.g., if the invention is a process, describe each step of the process):

The key elements of the lane-level data model are:

- A lane centerline is defined for every portion of a road lane where both edges are discernable and the lane is at full width. The centerline is defined as the line midway between the lane edges. Lane edges can be lane markings (such as paint) and/or physical edges (such as a curb, median or edge of pavement). *Having well-defined criteria for what is and is not a lane helps make the data creation process more reliable and reproducible.*
- The actual geometry of a lane can be expressed as parametric curvature (such as a uniform B-spline, non-uniform B-spline, or clothoid) or as a set of shape points interpolated by straight line segments (a "polyline"). *This enables better-behaved curvature as demanded by ADAS applications.*
- Where a lane is starting or ending, i.e., the lane is widening to or narrowing from its full width, no lane centerline is provided. Rather, the adjacent lane(s) will be attributed to indicate that a lane is starting or ending. *This definition is compatible with the lack of reliable vehicle paths for cars entering or leaving the lane that is forming or ending.*
- Attributes can be applied to a longitudinal subset of a lane (defined as a "sublane"). The sublane is defined by a pair of points along the lane, expressed as distances along the lane curve from a designated end. The sublane has no geometry of its own. *This allows attributes to begin and end as necessary along a lane, without complicating the underlying lane's geometry.*
- Each lane will have a pair of "adjacency" attributes, indicating what lies to the left and right of the lane. This attribute can be applied to the whole lane and also to a longitudinal subset of the lane (a "sublane"). This adjacency can be any of:
 - Another lane, which can be entered by a lane change
 - Another lane but which cannot be entered
 - A lane is in the process of forming
 - A lane is in the process of ending
 - A shoulder
 - Another "drivable surface" (not a lane or shoulder, but might have a vehicle on it, such as a parking lane or low median)
 - No drivable surface (a drop-off, a barrier, etc.)

This adjacency attribute addresses the problem of lateral lane changes by defining where such changes can legally occur, and further defines for applications places where other vehicles are likely to be present.

- Lanes will not cross one another. A lane goes up to, but not through, the intersection at the end of the road link. *This prevents any implied connectivity between lanes that is not consistent with reality.*
- In the case of bifurcations (a true "lane split"), two lanes can be modeled such that their centerlines start at the same point. These will be attribute as "overlapping" to indicate that two lane surfaces share some of the same pavement.
- A lane will not extend beyond the end of the road to which it belongs. A physical lane may continue unbroken across multiple road links, such as when a ramp splits off from (one lane of) the road, but each road's lanes will be modeled separately. Each lane is associated with exactly one road link. *This will ensure compatibility with the existing NAVTECH link-based data model.*

Please check the appropriate box:

☐
☒

No design documents exist

The following design documents exist (and copies are attached):

[REDACTED]

[REDACTED]

CONFIDENTIAL

Signature: Michael J. Weiland
(of preparer-developer)

Date: [REDACTED]

Type Name: Michael Weiland

Signature(s) of Contributing Developers:

1. Name: [Signature]
2. Name: [Signature]
3. Name: [Signature]
4. Name: [Signature]
5. Name: [Signature]
6. Name: _____
7. Name: _____

Date: [REDACTED]
Date: [REDACTED]
Date: [REDACTED]
Date: [REDACTED]
Date: [REDACTED]
Date: _____
Date: _____

BEST AVAILABLE COPY

[REDACTED]

CONFIDENTIAL